

## Code of Conduct Complaints Status Report

Month 2009	Cases received	Cases open (cumulative)	Assessed by Committee – investigation	Assessed by Committee – no investigation	To be assessed by Committee/other	Cases closed
April	12	12	8 & 1*	1	2**	0
May	2	14	0	1	1***	0
June	13	25	4	0	7** & 2***	2
July	3	26	1	0	2**	2
August	1	13	0	1	0	14
September	1	14	0	1	0	0
October	5	18	2	3	0	1
November	7	24	2	3	2****	1
December	0	23	0	0	0	1
<b>Month 2010</b>						
January	0	18	0	0	0	5
February	1	16	0	1	0	3
March	3	19	0	3	0	0
April	4	19	0	4	0	4
May	3	19	2	1	0	3
June	0	14	0	0	0	5
July	6	19	1	5	0	1
August	3	22	1 (referred to SfE)	1	1****	0
September	5	21	0	4	1**	6
October	1	17	0	0	1	5
<b>Totals</b>	70	n/a	22	29	19	53

Appeals received
0
0
0
0
0
0
0
0
0
1 (overturned)
2 (upheld)
0
0
1 (upheld)
1 (upheld)
0
0
0
4 (upheld)
1 (awaiting review)
10

\* complaint presented to an Assessment Sub-Committee at Salisbury District Council and transferred to Wiltshire Council for investigation 01/04/09

\*\* further and better particulars requested but not received – complaint closed

\*\*\* not code of conduct complaint – complaint closed

\*\*\*\* complaint withdrawn

N.B. Two additional Appeals have been received but these relate to carried over complaints from former district councils and are not recorded in these figures

## Hearings

2009	Number of hearings	Date(s) and type(s) of hearing	Outcome	Appeal (Y/N)
April	0			
May	4	13/05/09 – 4 x Assessment Sub-Committee	3 investigations & 1 alternative action by MO	No
June	4	23/06/09 – 4 x Assessment Sub-Committee	3 investigations & 1 no breach	No
July	4	07/07/09 – 4 x Assessment Sub-committee	4 investigations	No
August	2	10/08/09 & 27/08/09 – 2 Assessment Sub-Committees	1 investigation & 1 no further action	No
September	0			n/a
October	1	15/10/09 – 1 x Assessment Sub-Committee	No further action	No
November	5	19/11/09 - 5 x Assessment Sub-Committee	1 investigation & 4 no further action	Yes (1)
December	7	02/12/09 – 4 x Assessment Sub-Committee 10/12/09 – 3 x Assessment Sub-Committee	2 investigations & 2 no further action 1 referral to MO & 2 adjournments (complaints now withdrawn and closed)	Yes (2)
<b>2010</b>				
January	0			n/a
February	5	03/02/10 – 1 x Assessment Sub-Committee (referred back following death of subject member (original decision – investigation)) 03/02/10 – 3 x Review Sub-Committee 09/02/10 – 1 x Consideration Sub-Committee	No further action  2 decisions upheld and 1 overturned – investigation Referral to Determination Sub-Committee	No
March	4	02/03/10 – 1 x Consideration Sub-Committee 30/03/10 – 3 x Assessment Sub-Committee	Referral to Determination Sub-Committee 1 referral to MO & 2 no further action	Yes (1)

2010	Number of hearings	Date(s) and type(s) of hearing	Outcome	Appeal (Y/N)
April	4	12/04/10 – 1 x Determination Sub-Committee 15/04/10 - 3 x Consideration Sub-Committee	Failure to comply – sanction: censure 2 no failure to comply – closed 1 referral to Determination Sub-Committee	n/a
May	10	11/05/10 – 5 x Assessment Sub-Committee 13/05/10 – 1 x Determination Sub-Committee 25/05/10 – 3 x Assessment Sub-Committee 25/05/10 – 1 x Review Sub-Committee	2 no further action, 1 referral to MO and 2 referrals to SfE * Failure to comply – sanction: training 2 investigations & 1 no further action Decision upheld	n/a
June	1	17/06/10 – 1 x Review Sub-Committee	Decision upheld	n/a
July	4	05/07/10 – 1 x Determination Sub-Committee 27/07/10 - 3 x Consideration Sub-Committee	Failure to comply – no further action 3 referrals to Determination Sub-Committee	n/a
August	6	19/08/10 - 6 x Assessment Sub-Committee	1 investigation & 5 no further action	Yes (4)
September	7	07/09/10 – 1 x Assessment Sub-Committee 07/09/10 – 1 x Consideration Sub-Committee 28/09/10 – 1 x Assessment Sub-Committee 29/09/10 – 4 x Review Sub-Committee	1 x no further action 1 x no failure to comply 1 x investigation - referred to SfE * 4 x no further action	Yes
October	7	06/10/10 – 2 x Determination Sub-Committee 14/10/10 - 1 x Determination Sub-Committee 21/10/10 – 4 x Assessment Sub-Committee	2 x no failure to comply 1 x 1 no failure to comply & 1 failure to comply – sanction: training 4 x no further action	

\* SfE decisions – no further action

## Investigations

Case reference	Date of Assessment hearing	Progress	Estimated date of final report
WC 06/09	13/05/09	Determination Sub-Committee 13 May 2010 – failure to comply (sanction – training) - closed	N/A
WC 14/09	23/06/09	Consideration Sub-Committee 15 April 2010 – no failure to comply – closed	N/A
WC 15/09	23/06/09	Consideration Sub-Committee 15 April 2010 – no failure to comply – closed	N/A
WC 18/09	13/05/09	Determination Sub-Committee 6 October 2010 – no failure to comply - closed	N/A
WC 19/09	13/05/09	Determination Sub-Committee 6 October 2010 - no failure to comply - closed	N/A
WC 20/09	23/06/09	Final report being drafted	November 2010
WC 24/09	07/07/09	Determination Sub-Committee 12 April 2010 – failure to comply (sanction- censure) - closed	N/A
WC 30/09	07/07/09	Report being drafted - complex case involving deeds of easement	November 2010
WC 31/09	07/07/09	Report being drafted – complex case involving deeds of easement	November 2010
WC 32/09	07/07/09	Report being drafted – complex case involving deeds of easement	November 2010
WC 33/09	07/07/09	Determination Sub-Committee 14 October 2010 – 1 no failure to comply & 1 failure to comply (sanction – training) - closed	N/A
WC 38/09	19/11/09	Determination Sub-Committee 5 July 2010 – failure to comply (no further action) closed	N/A
WC 43/09	02/12/09	Report being drafted – outstanding in order to clear backlog on older cases	Dec 2010/Jan 2011
WC 45/09	02/12/09	Report being drafted – outstanding in order to clear backlog on older cases	Dec 2010/Jan 2011
WC 42/09	03/02/10 (Review Sub-Ctte)	Consideration Sub-Committee 7 September 2010 – no failure to comply - closed	N/A
WC 09/10	25/05/10	Draft report sent out	November 2010
WC 10/10	25/05/10	Draft report sent out	December 2010
WC 12/10	19/08/10	Interviews in progress	January 2011

Casestatusreport24/11/2010

**Standards Committee 24 November 2010**